106 1:34PM +1-212-319-5101 customer 01933 NO. 9120 P. 1 Approved for use through 3/31/2007. OMB 0851-0021

U.S. Petent and Tredemark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			ATTORNEY'S DOCKET NUMBER 06180/LH U.S. AFFLICATION NO. (If known, see 37 CFR 1.5) 10/577,864	
	INVENTION IING APPARATUS			CENTRAL FAX CE
APPLICA	NT(S) FOR DO/EO/US			SEP 1 5 20
UMED/	A, Hiroyukl; NAKASHIMA	., Gosuke; YOSHIDA Norlyuki States Designated/Elected Office (DO/E	EOUIS) the following items and	
		concerning a submission under 35 U.S.C. 3	,	OB REF INDITINGUOSI.
				1
2 (3)	This is a SECOND or SUBSEQUEN	T submission of items concerning a submiss	lon under 35 U.S.C. 371.	
3. L	This is an express request to begin r (5), (6), (9) and (21) Indicated below	national examination procedures (35 U.S.C. : v.	371(f)). The submission must includ	e items
4.	The US has been elected (Article 31	·).		
5.	A copy of the International Applica	tion as filed (35 U.S.C. 371(c)(2))		
	a. Is attached hereto (requi	red only if not communicated by the internati	onal Bureau).	
	b has been communicated	by the International Bureau.		
	c. Is not required, as the ep	plication was filed in the United States Rece	iving Office (RO/US).	
6.	An English language translation of	the International Application as filed (35 U.S	s.C. 371(c)(2)).	
	a. Is attached hereto.			ĺ
	b. has been previously sub	mitted under 35 U.S.C. 154(d)(4).		İ
7.	Amendments to the claims of the f	nternational Application under PCT Article 19	9 (35 U.S.C. 371(c)(3))	
	a. are attached hereto (red	quired only if not communicated by the interr	national Bureau).	
	b. have been communicat	ed by the International Bureau.		
	c. have not been made; h	owever, the time limit for making such amen	dments has NOT expired.	
	d. have not been made an	nd will not be made.		1
8. 🔲	An English language translation o	f the amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).	
9.	An oath or declaration of the inven	tor(s) (35 U.S.C. 371(c)(4)).]
10. 🔲	An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the international Preliminary	Examination Report under PCT	
Herns	11 to 20 below concern documen	rt(s) or information included:		
11.	An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.		
12.	An assignment document for recor	rding. A seperate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is Include	ded.
13. 🕱	A preliminary amendment.			
14.	An Application Data Sheet under 3	37 CFR 1.76.		Ì
15.	A substitute specification.			
16. 🔲	A power of attorney and/or change	of address letter.		
17. 🔲	A computer-readable form of the s	equence listing in accordance with PCT Rule	o 13ter.2 and 37 CFR 1.821-1.825.	1
18.	A second copy of the published in	ternational Application under 35 U.S.C. 154(d)(4).	
19.	A second copy of the English lang	uage translation of the international applicati	on under 35 U.S.C. 154(d)(4).	
20. D minary	Other items or information: Amendment II (3 pages)	CERTIFICATE OF FACSIII TOTAL PAGES: <u>5</u> I here Commissioner for Patent on the date noted belowed Dated: <u>September 18, 200</u> petition for extension of I as a Petition for the requi	will TRANSMISSION TO NO. 1-1 by certify that this paper is being a P.O. Box 1459. Alexandria, Vin the paper is the paper is the paper is the paper is the paper is the paper is the the extension of time, and to the portration to charge the extension	y facsimile transmitted t ginia 22313-1450 J. Hegstrom late filed, and the neces swith, please consider to extent not tendered by

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0451-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (# known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 06180/LH PCT/JP2004/016102 10/577,864 CALCULATIONS PTO USE ONLY The following fees have been submitted RECEIVED 22. Dozimlnation fee CENTRAL FAX CENTER tf international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 SEP 1 5 2006 All other situations......\$200 Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations......\$500 \$ TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 60 sheets of paper or fraction thereof. RATE Number of each additional 50 or fraction **Total Sheets** Extra Sheets thereof (round up to a whole number) \$ × \$250 Surcharge of \$130.00 for furnishing the cath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). RATE C) AIMS NUMBER FILED NUMBER EXTRA x \$50 \$ - 20 = Total claims x \$200 \$ Independent claims \$360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority data (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ Fee for recording the enclosed essignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$48.00 per property TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be \$ charged: A check in the amount of \$ _ to cover the above fees is enclosed. to cover the above fees. Please charge my Deposit Account No. _in the amount of \$ _ A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1378. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (6)) must be filed and granted to reators the international Application to pending status. SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 01933 SIGNATURE <u>eonard Holi</u> **Leonard Holtz** Frishauf, Holtz, Goodman & Chick P.C. 220 5TH AVE FL 16 22.974 **NEW YORK NY 10001-7708** REGISTRATION NUMBER

FORM PTO-1390 (REV. 02-2005)

SEP 1 5 2006

CERTIFICATE OF FACSIMILE TRANSMISSION TO NO. 1-571-273-8300

TOTAL PAGES: 5

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on the date noted below,

Diane J. Hogstrom

Dated: September 15, 2006

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently berewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hiroyuki UMEDA, et al.

Serial No. : 10/577,864

Confirm. No.: 2234

Docket No.: 06180/LH

Customer No.: 01933

Filed : April 28, 2006

For : CRUSHING APPARATUS

Art Unit :

Examiner :

PRELIMINARY AMENDMENT II

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

Please amend the above identified application as follows.

Amendments to the Specification are set forth on page 2 of this paper.

Remarks are set forth on page 3 of this paper.